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PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | | |
|--|---|----------------------|------------------|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) | Attorney Docket Number | 103.1033.01 | |
| | First Named Inventor | Blake LEWIS | |
| | COMPLETE IF KNOWN | | |
| | Application Number | 09 / 642063 | |
| | Filing Date | August 18, 2000 | |
| | Group Art Unit | Not Yet Assigned | |
| <input type="checkbox"/> Declaration Submitted with Initial Filing | <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Examiner Name | Not Yet Assigned |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Reserving File System Blocks

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) August 18, 2000 as United States Application Number or PCT International

Application Number 09/642063 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
| | |

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

| Name | Registration Number | Name | Registration Number |
|------|---------------------|------|---------------------|
| | | | |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 022883 OR ☐ Correspondence address below

| | | | | | |
|---------|--|-----------|--|-----|--|
| Name | | | | | |
| Address | | | | | |
| Address | | | | | |
| City | | State | | ZIP | |
| Country | | Telephone | | Fax | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

| | | | | | | | |
|--|-----------------|------------------------|----|---------|---------|-------------|-----|
| Given Name (first and middle (if any)) | | Family Name or Surname | | | | | |
| Blake | | Lewis | | | | | |
| Inventor's Signature | Blake Lewis | | | Date | 11/8/00 | | |
| Residence: City | Palo Alto | State | CA | Country | USA | Citizenship | US |
| Post Office Address | 2316 Greer Road | | | | | | |
| Post Office Address | | | | | | | |
| City | Palo Alto | State | CA | ZIP | 94303 | Country | USA |

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → +

PTO/SB/02A (3-97)
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

| | | | | | | | |
|---|-------------------------------------|-------|----|---|-------|-------------|----------|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | | | Family Name or Surname | | | |
| Kayuri | | | | Patel | | | |
| Inventor's Signature | Kayuri Patel | | | | | Date | 9/8/2000 |
| Residence: City | Cupertino | State | CA | Country | USA | Citizenship | Kenya |
| Post Office Address | 20380 Stevens Creek Blvd., Apt. 219 | | | | | | |
| Post Office Address | | | | | | | |
| City | Cupertino | State | CA | ZIP | 95014 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | | | Family Name or Surname | | | |
| Ray | | | | CHEN | | | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | Campbell | State | CA | Country | USA | Citizenship | US |
| Post Office Address | 400 Castro Court | | | | | | |
| Post Office Address | | | | | | | |
| City | Campbell | State | CA | ZIP | 95008 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | | | Family Name or Surname | | | |
| | | | | | | | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | | State | | Country | | Citizenship | |
| Post Office Address | | | | | | | |
| Post Office Address | | | | | | | |
| City | | State | | ZIP | | Country | |

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

| | | | | | | | |
|--|-------------------------------------|-------|----|---|-------|-------------|--------|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| Kayuri | | | | Patel | | | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | Cupertino | State | CA | Country | USA | Citizenship | Kenya |
| Post Office Address | 20380 Stevens Creek Blvd., Apt. 219 | | | | | | |
| Post Office Address | | | | | | | |
| City | Cupertino | State | CA | ZIP | 95014 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| Ray | | | | CHEN | | | |
| Inventor's Signature | | | | | | Date | 9/9/20 |
| Residence: City | Campbell | State | CA | Country | USA | Citizenship | US |
| Post Office Address | 400 Castro Court | | | | | | |
| Post Office Address | | | | | | | |
| City | Campbell | State | CA | ZIP | 95008 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| | | | | | | | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | | State | | Country | | Citizenship | |
| Post Office Address | | | | | | | |
| Post Office Address | | | | | | | |
| City | | State | | ZIP | | Country | |

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22883

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 Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Blake LEWIS

Application No./Patent No.: 09/642,063 Filed/Issue Date: August 18, 2000

Entitled: Reserving File System Blocks

Network Appliance, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
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3. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/1/00
 Date

Francine B. Bellet
 Signature

Francine B. Bellet

Typed or printed name

Senior Corporate Attorney

Title

COPY

22,883
PATENT TRADEMARK OFFICE

103.1033.01

ASSIGNMENT

WHEREAS, We, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, Kayuri Patel, a citizen of Kenya, residing at 20380 Stevens Creek Blvd., Apt. 219, Cupertino, California 95014, and Ray Chen, a citizen of United States, residing at 400 Castro Court, Campbell, California 95008, have invented a "Reserving File System Blocks" for which we have executed application papers for a U.S. patent thereon, which was filed on August 18, 2000, Serial No. 09/642063; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Blake Lewis, Kayuri Patel, and Ray Chen do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Sunnyvale, California this 8th day of November, 2000.

Blake Lewis
Blake Lewis

Kayuri Patel
Kayuri Patel

Ray Chen
Ray Chen

Assignment

COF V

ASSIGNMENT

WHEREAS, We, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, Kayuri Patel, a citizen of Kenya, residing at 20380 Stevens Creek Blvd., Apt. 219, Cupertino, California 95014, and Ray Chen, a citizen of United States, residing at 400 Castro Court, Campbell, California 95008, have invented a "Reserving File System Blocks" for which we have executed application papers for a U.S. patent thereon, which was filed on **August 18, 2000, Serial No. 09/642063**; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Blake Lewis, Kayuri Patel, and Ray Chen do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Sunnyvale, CA, this 8th day of September, 2000.

Blake Lewis

Kayuri Patel
Kayuri Patel

Ray Chen

COPY

22883

103.1033.01

ASSIGNMENT

WHEREAS, We, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, Kayuri Patel, a citizen of Kenya, residing at 20380 Stevens Creek Blvd., Apt. 219, Cupertino, California 95014, and Ray Chen, a citizen of United States, residing at 400 Castro Court, Campbell, California 95008, have invented a "Reserving File System Blocks" for which we have executed application papers for a U.S. patent thereon, which was filed on August 18, 2000, Serial No. 09/642063; and

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NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Blake Lewis, Kayuri Patel, and Ray Chen do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Sunnyvale, CA this 9th day of September, 2000.

Blake Lewis

Kayuri Patel

Ray Chen

Assignment



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 22, 2000

PTAS

SWERNOFSKY LAW GROUP
JOHN C. MERCHANT
P.O. BOX 390013
MOUNTAIN VIEW, CA 94039-00

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700002949A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/20/2000

REEL/FRAME: 011120/0153

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LEWIS, BLAKE'

DOC DATE: 11/08/2000

ASSIGNOR:

PATEL, KAYURI

DOC DATE: 09/08/2000

ASSIGNOR:

CHEN, RAY

DOC DATE: 09/09/2000

ASSIGNEE:

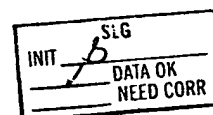
NETWORK APPLIANCE, INC.
495 EAST JAVA DRIVE
SUNNYVALE, CALIFORNIA 94089

SERIAL NUMBER: 09642063

FILING DATE: 08/18/2000

PATENT NUMBER:

ISSUE DATE:



975

011120/0153 PAGE 2

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11/20/2000

103.1033.01

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FORM PTO-1619A
Expires 06/30/00
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U.S. Department of Commerce
Patent and Trademark Office
PATENT

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PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type



New



Resubmission (Non-Recordation)



Document ID#



Correction of PTO Error



Reel #

Frame #



Corrective Document



Reel #

Frame #

Conveyance Type



Assignment



Security Agreement



License



Change of Name



Merger



Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File

Conveying Party(ies)



Mark if additional names of conveying parties attached

Name (line 1) Lewis, Blake

Execution Date
Month Day Year
11 08 2000

Name (line 2)

Second Party

Name (line 1) Patel, Kayuri

Execution Date
Month Day Year
09 08 2000

Name (line 2)

Receiving Party



Mark if additional names of receiving parties attached

Name (line 1) Network Appliance, Inc.

Name (line 2) a Corporation of California

Address (line 1) 485 East Java Drive

Address (line 2)

Address (line 3) Sunnyvale

CA

94089

City

State/County

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name John C. Merchant

Address (line 1) Swernofsky Law Group

Address (line 2) P.O. Box 380013


Address (line 3) Mountain View, CA 94039-0013

Address (line 4) United States

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Mail documents to be recorded with required cover sheet(s) information to:
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| | | | |
|---|--|---|---|
| FORM PTO-1619B <small>Explain DUE/00/00 OMB 0651-0027</small> | | Page 2 | <small>U.S. Department of Commerce Patent and Trademark Office</small> PATENT |
| Correspondent Name and Address | | Area Code and Telephone Number | <input type="text" value="650.947.0700"/> |
| Name <input type="text" value="John C. Merchant"/> | | Reg. No. | <input type="text" value="45.996"/> |
| Address (line 1) <input type="text" value="Swernofsky Law Group"/> | | | |
| Address (line 2) <input type="text" value="P.O.Box 390013"/> | | | |
| Address (line 3) <input type="text" value="Mountain View, CA 94038-00"/> | | | |
| Address (line 4) <input type="text" value="United States"/> | | | |
| Pages | Enter the total number of pages of the attached conveyance document including any attachments. | | # <input type="text" value="3"/> |
| Application Number(s) or Patent Number(s) | | | |
| <input type="checkbox"/> Mark if additional numbers attached | | | |
| <small>Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).</small> | | | |
| Patent Application Number(s) | | Patent Number(s) | |
| <input type="text" value="09842083"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <small>If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named examining inventor.</small> | | | |
| <div>Month <input type="text"/></div> <div>Day <input type="text"/></div> <div>Year <input type="text"/></div> | | | |
| Patent Cooperation Treaty (PCT) | | | |
| <small>Enter PCT application number only if a U.S. Application Number has not been assigned.</small> | | | |
| PCT | <input type="text"/> | PCT | <input type="text"/> |
| PCT | <input type="text"/> | PCT | <input type="text"/> |
| Number of Properties | | | |
| Enter the total number of properties involved. | | # | <input type="text" value="1"/> |
| Fee Amount | | | |
| Fee Amount for Properties Listed (37 CFR 3.41): | | \$ | <input type="text" value="40.00"/> |
| Method of Payment: | | | |
| Deposit Account | | | |
| <small>(Enter for payment by deposit account or if additional fees can be charged to the account.)</small> | | | |
| Enclosed | <input type="checkbox"/> | Deposit Account | <input checked="" type="checkbox"/> |
| Deposit Account Number: | | # | <input type="text" value="50-0365"/> |
| Authorization to charge additional fees: | | | |
| Yes | <input checked="" type="checkbox"/> | No | <input type="checkbox"/> |
| Statement and Signature | | | |
| <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.</i> | | | |
| Name of Person Signing | | Signature | |
| <input type="text" value="John C. Merchant"/> | |  | |
| | | Date | |
| | | <input type="text" value="11-20-00"/> | |

FORM PTO-1619C

Expires 06/30/09
OMB 0551-0027RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLYU.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Chen, Ray

Execution Date
Month Day Year

09 09 2000

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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22883

WHEREAS, We, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, Kayuri Patel, a citizen of Kenya, residing at 20380 Stevens Creek Blvd., Apt. 219, Cupertino, California 95014, and Ray Chen, a citizen of United States, residing at 400 Castro Court, Campbell, California 95008, have invented a "**Reserving File System Blocks**" for which we have executed application papers for a U.S. patent thereon, which was filed on **August 18, 2000, Serial No. 09/642063**; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Blake Lewis, Kayuri Patel, and Ray Chen do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Sunnyvale, California this 8th day of November, 2000.

Blake Lewis
Blake Lewis

Kayuri Patel
Kayuri Patel

Ray Chen
Ray Chen

Assignment

22883

WHEREAS, We, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, Kayuri Patel, a citizen of Kenya, residing at 20380 Stevens Creek Blvd., Apt. 219, Cupertino, California 95014, and Ray Chen, a citizen of United States, residing at 400 Castro Court, Campbell, California 95008, have invented a "**Reserving File System Blocks**" for which we have executed application papers for a U.S. patent thereon, which was filed on **August 18, 2000, Serial No. 09/642063**; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

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WITNESS OUR HAND at Sunnyvale, CA, this 8th day of September, 2000.

Blake Lewis

Kayuri Patel
Kayuri Patel

Ray Chen

Assignment

22883

WHEREAS, We, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, Kayuri Patel, a citizen of Kenya, residing at 20380 Stevens Creek Blvd., Apt. 219, Cupertino, California 95014, and Ray Chen, a citizen of United States, residing at 400 Castro Court, Campbell, California 95008, have invented a **"Reserving File System Blocks"** for which we have executed application papers for a U.S. patent thereon, which was filed on **August 18, 2000, Serial No. 09/642063**; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

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WITNESS OUR HAND at ~~San Jose~~ Sunnyvale, CA 5:00pm this 9th day of September, 2000.

Blake Lewis

Kayuri Patel

Ray Chen

Assignment

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (11-96)

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NOT ACCOMPANYING
APPLICATION**

| | |
|------------------------|-----------------|
| Application Number | 09/642,063 |
| Filing Date | August 18, 2000 |
| First Named Inventor | Blake LEWIS |
| Group Art Unit | Not Assigned |
| Examiner Name | Not Assigned |
| Attorney Docket Number | 103.1033.01 |

I hereby appoint:

☒ Practitioners at Customer Number

22883

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OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant.

☒ Assignee of record of the entire interest
Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE of Applicant or Assignee of Record

Name

Francine Bellet, Senior Corporate Attorney

Signature

Date

12/1/00

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